

MAR 26 2001

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/721,287	11/22/2000	Peter Joseph Marsico	1322/62

25297  
JENKINS & WILSON, PA  
3100 TOWER BLVD  
SUITE 1400  
DURHAM, NC 27707

## FORMALITIES LETTER



\*OC00000000588647\*

Date Mailed: 03/21/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

05/24/2001 TRESHAM1 00000027 09721287

FILED UNDER 37 CFR 1.53(b)

01 FC:105

130.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



"Express Mail" mailing number.: EF319341336US

**PATENT**

I hereby certify that this paper and all papers and fees referred to herein are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Commissioner of Patent and Trademarks, Washington, D.C.

*Paige E. Snyder*  
Paige E. Snyder  
Date of Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Marsico et al.

Group Art Unit: 2661

Serial No.: 09/721,287

Examiner: Not Assigned

Filed: November 22, 2000

Docket No.: 1322/62

For: METHODS AND SYSTEMS FOR AUTOMATICALLY REGISTERING COMPLAINTS AGAINST CALLING PARTIES

\*\*\*\*\*

RESPONSE TO NOTICE TO FILE MISSING PARTS - FILING DATE GRANTED

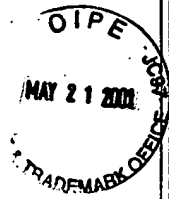
Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

Sir:

Pursuant to the "Notice to File Missing Parts of Application - Filing Date Granted" dated March 21, 2001, and having a period for response set to expire on May 21, 2001, please find enclosed the following:

1. An original executed Declaration and Power of Attorney for the above-referenced U.S. Patent Application;
2. An original executed Assignment and related Recordation Form Cover Sheet for the above-referenced U.S. Patent Application; and
3. A check in the amount of \$170.00 (\$130.00 representing the Missing Parts surcharge fee, and \$40.00 representing the Assignment recordation fee).

A copy of the Notice to File Missing Parts is being filed simultaneously.



Appln. No. 09/721,287

Although a check is being submitted herewith, the Commissioner is hereby authorized to charge any deficiency or credit any overpayments in connection with the filing of this Response to Deposit Account No. 50-0426.

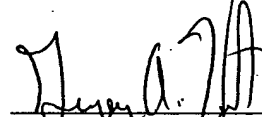
Respectfully submitted,

JENKINS & WILSON, P.A.

Date:

5/21/01

By:

  
\_\_\_\_\_  
Gregory A. Hunt  
Registration No. 41,085

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3100 Tower Boulevard  
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Telephone: (919) 493-8000  
Facsimile: (919) 419-0383

Customer No.: 25297

1322/62 GAH/anw

Enclosures



05-23-01

Sector ~~X~~

JENKINS & WILSON, P.A.

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May 17, 2001

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DAVID P. STITZEL (PATENT AGENT)  
JULIE A. BROADUS, Ph.D. (PATENT AGENT)  
E. ERIC MILLS, P.E. (PATENT AGENT)

"Express Mail" mailing label number EF319341336US

Date of Deposit May 21, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Address" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Paige E. Snyder  
Paige E. Snyder

Date of Signature 21 May 2001

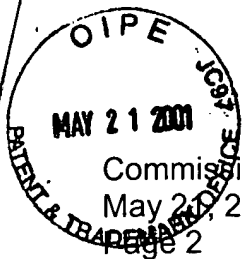
Commissioner for Patents  
BOX MISSING PARTS  
Washington, D.C. 20231

Re: U.S. Patent Application Serial No. 09/721,287 for METHODS  
AND SYSTEMS FOR AUTOMATICALLY REGISTERING  
COMPLAINTS AGAINST CALLING PARTIES  
Our File No. 1322/62

Sir:

Enclosed please find the following:

1. A Response to Notice to File Missing Parts (2 pages);
1. Fee Transmittal (1 page), in duplicate;
2. An executed Declaration (2 pages);
5. Recordation Form Cover Sheet (Form PTO-1595) (1 page);
6. An executed Assignment (2 pages);
7. A check in the amount of \$170.00;
8. A copy of the Notice to File Missing Parts (1 page); and



Commissioner for Patents

May 21, 2001

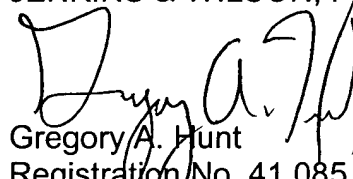
Page 2

9. A return-receipt postcard to be returned to our offices with the U.S. Patent and Trademark filing stamp thereon.

Please contact our offices if there are any questions with this matter.

Respectfully submitted,

JENKINS & WILSON, P/A.



Gregory A. Hunt  
Registration No. 41,085  
Customer No.: 25297

GAH/anw

Enclosures



PTO/SB/17 (11-00)  
Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ ) 170.00

## Complete if Known

Application Number	09/721,287
Filing Date	November 22, 2000
First Named Inventor	Marsico, Peter Joseph
Examiner Name	Not Assigned
Group Art Unit	2661
Attorney Docket No.	1322/62

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number   
Deposit Account Name

- ☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	<input type="text"/>
106 320	206 160	Design filing fee	<input type="text"/>
107 490	207 245	Plant filing fee	<input type="text"/>
108 710	208 355	Reissue filing fee	<input type="text"/>
114 150	214 75	Provisional filing fee	<input type="text"/>

SUBTOTAL (1) (\$ ) 0.00

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
<input type="text"/>	-20** = <input type="text"/>	X <input type="text"/>	= <input type="text"/>
Independent Claims	- 3** = <input type="text"/>	X <input type="text"/>	= <input type="text"/>
Multiple Dependent		<input type="text"/>	= <input type="text"/>

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ ) 0.00

\*for number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	130.00
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	<input type="text"/>
139 130	139 130	Non-English specification	<input type="text"/>
147 2,520	147 2,520	For filing a request for ex parte reexamination	<input type="text"/>
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	<input type="text"/>
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	<input type="text"/>
115 110	215 55	Extension for reply within first month	<input type="text"/>
116 390	216 195	Extension for reply within second month	<input type="text"/>
117 890	217 445	Extension for reply within third month	<input type="text"/>
118 1,390	218 695	Extension for reply within fourth month	<input type="text"/>
128 1,890	228 945	Extension for reply within fifth month	<input type="text"/>
119 310	219 155	Notice of Appeal	<input type="text"/>
120 310	220 155	Filing a brief in support of an appeal	<input type="text"/>
121 270	221 135	Request for oral hearing	<input type="text"/>
138 1,510	138 1,510	Petition to institute a public use proceeding	<input type="text"/>
140 110	240 55	Petition to revive - unavoidable	<input type="text"/>
141 1,240	241 620	Petition to revive - unintentional	<input type="text"/>
142 1,240	242 620	Utility issue fee (or reissue)	<input type="text"/>
143 440	243 220	Design issue fee	<input type="text"/>
144 600	244 300	Plant issue fee	<input type="text"/>
122 130	122 130	Petitions to the Commissioner	<input type="text"/>
123 50	123 50	Processing fee under 37 CFR 1.17(q)	<input type="text"/>
126 180	126 180	Submission of Information Disclosure Stmt	<input type="text"/>
581 40	581 40	Recording each patent assignment per property (times number of properties)	40.00
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	<input type="text"/>
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	<input type="text"/>
179 710	279 355	Request for Continued Examination (RCE)	<input type="text"/>
169 900	169 900	Request for expedited examination of a design application	<input type="text"/>

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 170.00

## SUBMITTED BY

Name (Print/Type)	Gregory A. Hunt	Registration No. (Attorney/Agent)	41,085	Telephone	919-493-8000
Signature		Date	5/21/01		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.